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Express Mail # EL583742545US Customer # 2352 Assistant Commissioner for Patents

Washington, DC 20231 Attn: Box Patent Application

OFGS File No.: P/1071-1201

Inventor : Kenji MATSUO and Junshi OTA

: ULTRASONIC VIBRATION APPARATUS Title

Assignee : Murata Manufacturing Co., Ltd.

Enclosed herewith please find the following documents in the above-identified application for United States Letters Patent:

- 17 Pages of Specification including Abstract and Claims
- 7 Numbered Claims Calculated as 7 Claims for Fee Purposes
- 8 Sheets of Drawing Containing Figures 1 to 11. (Formal)
- X Declaration and Power of Attorney (Unsigned)
- X Patent Application Bibliographic Data
  - X Priority is Claimed under 35 U.S.C. §119:

Convention Date October 28, 1999 for Japanese Appln. No. 11-307558 Convention Date March 7, 2000 for Japanese Appln. No. 2000-61955

- X Certified Priority Application (2)
- X Form PTO-1449 (4 References) X Preliminary Amendment
  - X Return-Addressed Post Card

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Total Number of Claims in Excess of 20, times \$18:	0.00
Number of Independent Claims in Excess of 3, times \$80:	
One or More Multiple Dependent Claims: Total \$270	0.00
Total Filing Fees	0.00
Assignment Recording Fee: \$40	0.00
TOTAL Filing Fee and Assignment Recording Fee:	\$710.00

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October 30/2000

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Respectfully submitted,

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#### APPLICATION INFORMATION

Title Line One:: Ultrasonic Vibration Apparatus Total Drawing Sheets:: 8

Formal Drawings?:: Yes Application Type:: Utility Docket Number:: P/1071-1201

Secrecy Order in Parent Appl. ?:: No

#### PRIOR FOREIGN APPLICATIONS

Foreign Application One:: 11-307558

Filing Date:: 10-28-1999 Country:: Japan

Priority Claimed:: Yes Foreign Application Two:: 2000-61955

Filing Date:: 03-07-2000

Country:: Japan

Priority Claimed:: Yes

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Patent Application of

Kenji MATSUO et al. Date: October 30, 2000
Serial No.: Not Yet Known Group Art Unit: --

Filed: Herewith Examiner: --

For: ULTRASONIC VIBRATION APPARATUS

Assistant Commissioner for Patents

Washington, D.C. 20231

## PRELIMINARY AMENDMENT

Sir:

Preliminary to the examination of the above-identified application, please amend the application as follows:

### IN THE CLAIMS

Please amend the claims 3 and 4 as follows:

Claim 3, line 2, delete "one";

line 2, change "of Claims 1 and 2" to --Claim 2--.

Claim 4, line 1, delete "one";

line 2, change "of Claims 1 to 3" to --Claim 3--.

Please add new claims 5-7 as follows:

- --5. An ultrasonic vibration apparatus according to Claim 1, wherein a flexible filler whose hardness is lower than that of said casing is filled in said groove.
- An ultrasonic vibration apparatus according to claim 1, wherein said ultrasonic vibration apparatus is used for an ultrasonic sensor.

7. An ultrasonic vibration apparatus according to claim 2, wherein said ultrasonic vibration apparatus is used for an ultrasonic sensor.--

#### REMARKS

The multidependency of the claims has been canceled and new single dependency claims have been substituted therefor.

Consideration and allowance of the application are earnestly solicited.

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- 2 -

#### ULTRASONIC VIBRATION APPARATUS

### BACKGROUND OF THE INVENTION

#### 1. Field of the Invention

The present invention relates to an ultrasonic vibration apparatus such as an ultrasonic sensor used for detecting an object by transmitting and receiving ultrasonic waves.

#### 2. Description of the Related Art

Hitherto, as disclosed in, for example, Japanese
Unexamined Patent Application Publication No. 8-15416,
Japanese Unexamined Patent Application Publication No. 8237795, Japanese Unexamined Patent Application Publication
No. 9-284896, and Japanese Unexamined Patent Application
Publication No. 10-257595, ultrasonic vibration apparatuses
such as ultrasonic sensors employ a construction in which a
piezoelectric element having an electrode formed on a
piezoelectric plate is mounted in a casing.

Here, the basic construction of the ultrasonic vibration apparatus and an appearance of vibration thereof used for such conventional ultrasonic sensors are shown in Figs. 9A and 9B. Fig. 9A is a cross sectional view showing a state in which a piezoelectric element 1 is mounted inside a casing 2. The casing 2 forms a cylindrical shape in which one end thereof serves as a disk-like vibration plate 2' and

in which the piezoelectric element 1 is bonded on the inner face of the end. When driving voltage is applied to the piezoelectric element 1, the piezoelectric element 1 conducts a bending vibration at a predetermined resonance frequency. Similarly, the disk-like vibration plate 2' also conducts the bending vibration.

Thus, in the state in which the piezoelectric element 1 is bonded on the vibration plate, the resonance frequency depends on the material of the casing 2, the thickness a of the vibration plate 2', and the diameter b thereof.

In such conventional ultrasonic vibration apparatuses, the sizes of the vibration plate 2' influence not only the resonance frequency of but also the directivities of the ultrasonic waves at transmission time and at reception time. Generally, by widening the diameter of the vibration face and shortening the wavelength of the ultrasonic waves, directivity becomes narrowed. Accordingly, in an ultrasonic sensor in which narrow directivity is required, the outer diameter b of the casing is set to be large, further the thickness a is set to be great in order to set the resonance frequency to be high.

However, when the apparatus is used as an ultrasonic sensor, because of restriction in the size in the outer diameter and restriction of the wavelength to be used, the narrow directivity cannot be obtained without causing the apparatus to be large or without causing the operating frequency to be high.

Furthermore, the relationship that the directivity is determined by the area of the above-described vibration face and the wavelength is applied to, strictly speaking, a case in which the vibrating face is parallel-vibrating in a piston-movement manner and in which the ultrasonic wave is emitted as a plane wave. In the conventional ultrasonic apparatus in which the piezoelectric element is mounted in the cylindrical casing having simply one end thereof closed, since the vibration plate 2' performs the bending vibration as shown in Fig. 9B, the ultrasonic waves propagate through air as a spherical wave front. Therefore, there is a problem in that little advantage in obtaining a narrow directivity is achieved even though the vibrating area is widened or the wavelength of the ultrasonic waves is shortened.

Fig. 10 shows the result of computation by a finiteelement method (FEM) which is applied to the appearance of deformation in a vibration plate (the casing) due to vibration in a conventional ultrasonic vibration apparatus as shown in Figs 9A and 9B. Fig. 11 shows the result obtained by computing directivity characteristics of the ultrasonic waves which are emitted by this deformation. In this example, an angle (directivity angle) required to cause the sound pressure to be decreased up to -6.0 [dB], that is, to cause the sound pressure to be halved, is as wide as 44 degrees.

#### SUMMARY OF THE INVENTION

Accordingly, objects of this invention are to provide a miniaturized ultrasonic vibration apparatus showing narrow directivity characteristics without causing the frequency to be increased.

To this end, there is provided an ultrasonic vibration apparatus which includes a casing having a vibration surface, a piezoelectric element mounted in the casing, and, a disk-like vibration plate supported at a position along a circle defining two regions, an inner region thereof and an outer region thereof. In the ultrasonic vibration apparatus, the disk-like vibration plate is constructed so as to be a part of the casing serving as the vibration face, and the piezoelectric element is mounted in the central part of the disk-like vibration plate, thereby causing the inner region and the outer region to vibrate in substantially the same phase.

This allows a sound wave due to vibration in the inner region of the disk-like vibration plate and a sound wave due to vibration in the outer region thereof to interfere in the space in front of the vibration face of the vibration plate.

In a direction having the same phase, the energy of sound waves is enhanced. In a direction having the opposite phase, the energy of sound waves is offset. The position of the inner region of the vibration plate and that of the outer region thereof deviate in the direction of the plane of the vibration plate and they vibrate in the same phase. Therefore, a region having the same phase is generated in the direction along the center axis perpendicular to the vibration plate in front of the vibration face. In a diagonal direction deviating therefrom, a region having the two sound waves offset is generated. Accordingly, narrow directivity characteristics strongly directed toward the central axis can be obtained.

In the ultrasonic vibration apparatus, the casing may be constructed having a cylindrical shape with at least one end thereof closed and a groove is provided in an outer surface in proximity to the closed end of the casing thereby constituting the disk-like vibration plate.

This allows a part of the casing to serve as the disklike vibrating plate. In addition, a structure for supporting at a position along a predetermined concentric circle can be easily constructed.

In the ultrasonic vibration apparatus, a flexible filler whose hardness is lower than that of the casing may be filled in the groove.

This allows reverberation characteristics due to vibration in, particularly, the outer region of the vibration plate, to be improved.

In the ultrasonic vibration apparatus, the ultrasonic vibration apparatus may be used for an ultrasonic sensor.

## BRIEF DESCRIPTION OF THE DRAWINGS

Figs. 1A, 1B, and 1C are diagrams showing the construction of an ultrasonic vibration apparatus according to a first embodiment;

Fig. 2 is a diagram showing the appearance of interference among sound waves emitted from a vibration face of the ultrasonic vibration apparatus;

Fig. 3 is a diagram showing the appearance of deformation of a vibration plate thereof when vibrating;

Fig. 4 is a diagram showing directivity characteristics thereof:

Figs. 5A, 5B, and 5C are diagrams showing the construction of an ultrasonic vibration apparatus according to a second embodiment:

Figs. 6A and 6B are diagrams showing example reverberation characteristics thereof;

Fig. 7 is a diagram showing other example reverberation
characteristics thereof;

Fig. 8 is a diagram showing directivity characteristics

thereof:

Figs. 9A and 9B are diagrams showing the construction of a conventional ultrasonic vibration apparatus;

Fig. 10 is a diagram showing the appearance of deformation of the vibration plate thereof when vibrating; and

Fig. 11 is a diagram showing directivity characteristics thereof.

## DESCRIPTION OF THE PREFERRED EMBODIMENTS

The construction of an ultrasonic vibration apparatus as an ultrasonic sensor according to a first embodiment of this invention is described with reference to Figs. 1 to 4.

Figs. 1A, 1B, and 1C are cross sectional and top plan views showing the construction of the ultrasonic vibration apparatus. As shown in Fig. 1A, a casing 2 forms a cylindrical shape having one end thereof closed and is molded by die casting or cutting of aluminum. The closed end of this casing 2 is formed in which, by providing a groove 3 in the outer surface of the casing which is in proximity to the closed end, the thickness in the emitting direction of the outer peripheral surface of the casing which is in proximity to the closed end is reduced, thereby this closed overall end constitutes the disk-like vibration plate 2'. At the same time, the above part having the

thickness thereof reduced constitutes a supporting unit 4 for supporting the disk-like vibration plate 2'.

As shown in Fig. 1C, in the above supporting unit 4, the disk-like vibration plate 2' is divided into an inner region which is inside the supporting unit and an outer region which is outside the supporting unit. The disk-like piezoelectric element 1 is bonded in the central part of this disk-like vibration plate 2'. This piezoelectric element 1 is obtained by providing electrodes on both principal surfaces of the disk-like piezoelectric plate. By applying alternating voltage across the both electrodes, the piezoelectric element 1 conducts bending vibration.

Fig. 1B shows a state in which the disk-like vibration plate 2' is deformed when vibrating due to piezoelectric vibration of the piezoelectric element 1. As a consequence of bending vibration of the piezoelectric element 1, the disk-like vibration plate 2' also conducts bending vibration in which the supporting unit 4 serves as a node of vibration and in which the central part of the inner region and the outer peripheral portion of the outer region serve as antinodes.

Here, the dimensions of the casing 2 are as follows: d = 9.4 mm, r = 16.0 mm, and a = 1.0 mm.

The diameter of the piezoelectric element 1 is 7.0 mm and the thickness thereof is 0.15 mm. In this example,

resonance occurs at 80 kHz, and the inner region of the disk-like vibration plate 2' and the outer region thereof resonate in the same phase.

Fig. 2 shows the appearance of interference among sound waves occurring due to vibration of the inner region and the outer region of the above vibration plate with respect to a plane passing through a center axis perpendicular to the vibration plate. Here, Wa represents the density distribution of the sound waves due to vibration in the inner region of the vibration plate for each moment and Wb represents the density distribution of the sound waves due to vibration in the outer region thereof for each moment. Thus, when the sound waves Wa due to vibration in the inner region of the vibration plate interfere with the sound waves Wb due to vibration in both the right-side and left-side of the outer regions thereof, the sound pressure is maximized having such a direction that a pair of condensed regions of the sound waves overlaps and that a pair of rarified regions thereof overlaps. The sound pressure is minimized having such a direction that a condensed region thereof and a rarified region thereof overlap. This interference state is determined by the interval between the central part which is the antinode of vibration in the inner region of the vibration plate, and the outer peripheral portion which is the antinode of vibration in the outer region thereof; the

wavelength of the generated ultrasonic waves; and the sound pressure of the ultrasonic waves generated in each part of the inner region and the outer region thereof. Therefore conditions are determined, as shown in this Fig. 2, for optimally interfering sound waves in the inner region of the vibration plate and sound waves in the outer region of the vibration plate such that great sound pressure is obtained in the forward direction which is perpendicular to the vibration plate and the sound pressure is weakened in a direction which deviates by a predetermined direction angle or greater from the front. Thus, desired narrow directivity characteristics are obtained.

Fig. 3 shows the result of computation using a finiteelement method (FEM) which is applied to the appearance of
deformation of the vibration plate due to vibration of the
ultrasonic vibration apparatus shown in Figs. 1A to 1C. Fig.
4 shows the result determined by computing directivity
characteristics of the ultrasonic waves emitted due to the
deformation. In this example, an angle required for
decreasing the sound pressure up to -6.0 [dB], that is, an
angle (directivity angle) required for having the sound
pressure halved is 24 degrees, which is approximately half
of 44 degrees shown as the conventional example in Fig. 11.

Because of interference among sound waves from the two vibrating sources (three when illustrated in the cross sectional view) including one in the inner region of the vibration plate and one in the outer region thereof, regions for intensifying the sound pressures to each other are generated in directions which are widely separated laterally from the front. They appear as relatively large side lobes. However, the extent of the interference is slight and the sound pressure is approximately -15.0 dB. Accordingly, they are substantially smaller than the main lobe at the front, which is insignificant.

In the ultrasonic vibration apparatus having the construction shown in Figs. 1A to 1C, since the inner region of the disk-like vibration plate 2' vibrates primarily and the outer region thereof vibrates secondarily, after a burst signal for driving this ultrasonic vibration apparatus terminates, the outer region continues to vibrate.

Accordingly, there is a risk in which reverberation characteristics degrade compared to a construction having no provision of the outer region. In order to solve this, the width of the supporting unit 4 should be increased. However, since Q and the coupling coefficient of the ultrasonic vibration apparatus decreases, there is a risk that basic characteristics, other than reverberation characteristics, such as sound pressure, sensitivity, and directivity may be deteriorated.

Accordingly, the construction of an ultrasenic

vibration apparatus according to a second embodiment which solves the foregoing problem is described with reference to Figs. 5 to 8.

Figs. 5A to 5C are cross sectional and top plan views showing the construction of the ultrasonic vibration apparatus. It differs from the ultrasonic vibration apparatus shown in Figs. 1A to 1C in that filler 5 is filled in the groove 3. As this filler 5, flexible filling material having a hardness lower than that of the casing 2 is used.

Fig. 5B shows a state in which the disk-like vibration plate 2' is deformed when vibrating due to piezoelectric vibration of the piezoelectric element 1. As a consequence of the bending vibration of the piezoelectric element 1, the disk-like vibration plate 2' bending-vibrates in which the supporting unit 4 thereof serves as a node of vibration and in which the central part of the inner region and the outer peripheral portion of the outer region serve as antinodes of vibration. At this time, the filler 5 causes vibration in the outer region to be damped. Therefore, after the burst signal for driving this ultrasonic vibration apparatus is finished, vibration in the outer region is rapidly damped. As a result, reverberation characteristics are effectively improved.

Specifically, reverberation characteristics are shown

in Figs. 6 and 7 when the resonance frequency is 60 kHz, r =16 mm, d = 9.4 mm, a = 0.7 mm, the width of the supporting unit 4 is 0.5 mm, and the thickness of the piezoelectric element 1 is 0.15 mm. Fig. 6A shows characteristics in a case in which bonding silicone rubber having a hardness of 50 and an elongation of 130% according to JISA, which is the Japanese Industrial Standard for rubber resin, is filled in the groove 3. Fig. 6B shows characteristics in a case in which such filler is not filled therein. Here, when a period from the start timing of the burst signal, which is the driving signal, having a duration of 130  $\mu$ s, to a time at which the received signal decreases below 1[V] is set as reverberation time t, in the example in Fig. 6B, t = 8.0 ms, while a period from the start timing of the burst signal to a time at which voltage decreases below 1[V] in the example in Fig. 6A, i.e. duration of reverberation is t = 700  $\mu s$ , which is greatly decreased.

Fig. 8 shows directivity characteristics of the above two ultrasonic vibration apparatuses. Thus, the tendency of the sound pressure to drop in which the sound pressure is varied in accordance with deviation of the directivity angle from 0 degree becomes gradual due to filling of the above filler. Therefore, the angle (directivity angle) required for having the sound pressure halved is wider. However, in this example, the widened angle is small.

Fig. 7 is a diagram showing reverberation characteristics in which flexible filling material having a hardness of 20 and an elongation of 300 is filled in the groove 3. Thus, by softening the filler more than in a case shown in Fig. 6A, the reverberation time t becomes as long as 1340  $\mu s$  in this example. Instead, the narrow directivity effect due to vibration in the above outer region is enhanced and the directivity angle is improved compared to a case shown in Fig. 8.

Thus, by appropriately selecting the hardness and the elongation of the filler, both reverberation characteristics and directivity characteristics can be determined to optimal values within a predetermined specified range.

#### WHAT IS CLAIMED IS:

- 1. An ultrasonic vibration apparatus comprising:
- a casing having a vibration surface;
- a piezoelectric element mounted in said casing; and
- a disk-like vibration plate supported at a position along a circle defining two regions, an inner region thereof and an outer region thereof,

wherein said disk-like vibration plate is constructed so as to be a part of said casing serving as said vibration surface: and

said piezoelectric element is provided in the central part of said disk-like vibration plate, thereby causing said inner region and said outer region to vibrate in substantially the same phase.

- 2. An ultrasonic vibration apparatus according to Claim 1, wherein said casing is constructed having a cylindrical shape with at least one end thereof closed and a groove is provided in an outer peripheral surface in proximity to the closed end of said casing thereby constituting said disk-like vibration plate.
- An ultrasonic vibration apparatus according to one of Claims 1 and 2, wherein a flexible filler whose hardness

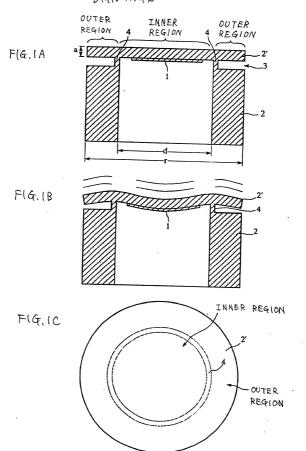
is lower than that of said casing is filled in said groove.

4. An ultrasonic vibration apparatus according to one of Claims 1 to 3, wherein said ultrasonic vibration apparatus is used for an ultrasonic sensor.

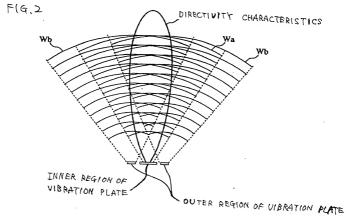
### ABSTRACT OF THE DISCLOSURE

An ultrasonic vibration apparatus includes a casing having a vibration face, a piezoelectric element mounted in the casing, and a disk-like vibration plate supported at a position along a concentric circle differentiating two regions, an inner region and an outer region. In the ultrasonic vibration apparatus, the disk-like vibration plate is constructed so as to be a part of the casing serving as the vibration face, and, the piezoelectric element is mounted in the central part of the disk-like vibration plate, thereby causing the inner region and the outer region to vibrate in substantially the same phase.

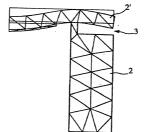
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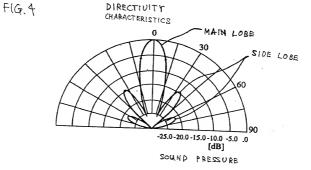


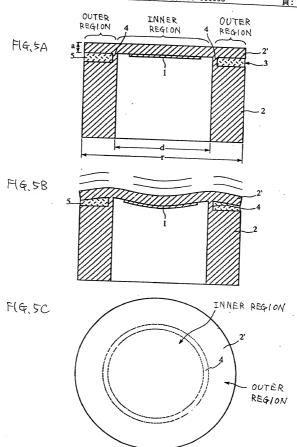
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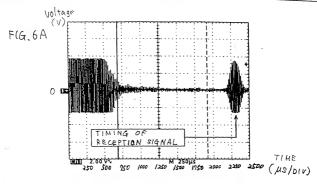


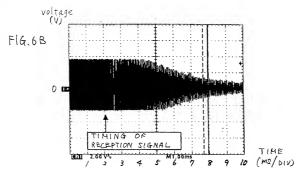


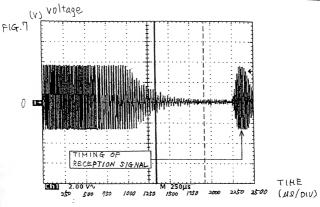


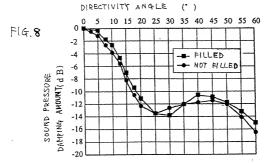












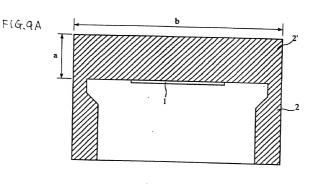
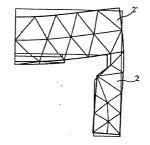


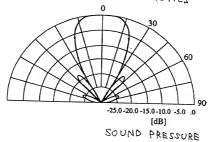
FIG.9B

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DIRECTIVITY CHARACTERISTICS

F1G. 11



UNITED STATES OF AMERICA COMBINED DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION					OFGS FILE NO. P/1071-1201		
As a below named inventor, I here believe that I am the original, first an matter which is claimed and for which	by declare that: my res i sole inventor (if only a patent is sought on ULTRASON	idence, post office ac one name is listed be the invention entitled IC VIBRAT	dress and crizenship a slow) or a joint invento it	are as state or (if plural TUS	d below no inventors	ext to my name; that I verily are named) of the subject	
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was filed on as United States patent Application Number or PCT International patent							
application number and was amended on (if any).  I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any							
I hereby state that I have reviewed amendment referred to above. I acknowledge the duty to disclose §1.56. I hereby claim priority benefits un States provisional application(s) lister date before that of the application on	all information known	to be material to par	tentability in accordan	e with Tit	le 37, Cod	e of Federal Regulations,	
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Prior Foreign or Provisional Applicat			D	UN DAG		PRIORITY OF A RICE	
COUNTRY	APPLICATION NUMBER		DATE OF FILING (day, month, year)			PRIORITY CLAIMED UNDER 35 U.S.C. 119	
Japan	11-307558		28 October 1999		9	YES X NO	
Japan	2000-61955		7 March 2	000		YES X NO	
						YES NO	
I hereby claim the benefit under T of each of the claims of this application United States Code, §112, I acknowle Regulations, §1.56 which became ava application.	tle 35, United States C on is not disclosed in the dge the duty to disclose ilable between the filit	ode, §120 of any Un te prior United States e information which ag date of the prior a	ited States application application in the man is material to patentab oplication and the nation	(s) listed be iner providulity as def onal or PC	elow and, led by the ined in Tit I internati	msofar as the subject matter first paragraph of Title 35, tle 37, Code of Federal onal filing date of this	
UNITED STATES APPLICATION NUMBER	DATE OF FILING (day, month, year)			STATUS (patented, pending, abandoned)			
1 hereby appoint oustomer no. 2352 OSTROLENK, FABER, GERB & SOFFEN, LLP, and the members of the firm, Samuel H. Weiner - Reg. No. 18637; Robert C. Faber - Reg. No. 24522; Edward A. Meiltann - Reg. No. 24735; Samley H. Edesstein - Reg. No. 24735; Samley H. Edesstein - Reg. No. 24735; Samley H. Edesstein - Reg. No. 30432; William O. Gravill H. Lag. No. 2044; Lausic. O Darind H. Reg. No. 3045; And Dauglas A. Millor of Carbon H. 1859; No. 3044; Lausic. O Darind - Reg. No. 3045; And Dauglas A. Millor Reg. No. 31643; as attorneys with full power of substitution and revocation to prosecute this application, to transact all business in the Patent & Trademark Office connected therewith and to receive all correspondence.							
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code, and that such willful false statements may joopardize the validity of the application or any patent issued thereon.							
FULL NAME OF SOLE OR FIRST INVENTOR Kenji MATSUO	OR .	INVENTOR'S SIGNA	TURE		DATE		
RESIDENCE (City and either State or Foreign Country)  Kanazawa-shi, Ishikawa-ken, Japan  Japan  COUNTRY OF CITIZENSHIP  Japan							
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FULL NAME OF THIRD JOINT INVENTOR		INVENTOR'S SIGNA			DATE	-, - wp	
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RESIDENCE (City and either State or Fo	reign Country)			COUNTR	Y OF CITIZ	ENSHIP	

POST OFFICE ADDRESS